

In October 2011 the Solicitors Regulation Authority introduced Outcomes Focused Regulation, and with it some fundamental changes to the way Legal Practices will need to operate.

One of the biggest changes is the introduction of 2 new roles, the COLP and COFA. The COLP (Compliance Officer for Legal Practice) must be a solicitor and of sufficient authority to be able to effect the changes required in a firm and put governance and reporting procedures and systems in place, as under the new regulatory regime they will be expected to report "material breaches" to the SRA.

Course Objectives

- Describe the role of the COLP against Outcomes Focused Regulation
- Describe what is reportable under Outcomes Focused Regulation
- Explain what systems and processes will be needed by the COLP
- Show how to analyse reports and decide what may need to be reported to the SRA



The course is refreshingly practical and delivered very well. I felt it prepared me to face the challenge of the role of COLP in 2013.

David Sangster
Partner and Head of
Compliance and Client Care
– Quality Solicitors Redkite



Who is this Course For?

- Any Solicitor who is going to be authorised as a COLP for their firm from January 2013
- Any Partner/Director/Member or Sole Trader who wants to understand the role and requirements of the COLP
- Any Practice Manager who wants to understand the role of the COLP

This unique course is designed for those who will become the COLP for their firm and will cover everything they need to know to ensure compliance in the new regime, and will cover topics as follows;

- Overview of the Legal Services Act Framework
- The SRA Code of Conduct 2011
- The 5 Sections of the Handbook
- New Principles and Outcomes
- Risk Principles for Strategic, Operational and Regulatory Risk
- The roles of COLP and COFA
- Practice Readiness assessment
 - Quality standards, Policies & Procedures
 - Equality & Diversity
 - Improvement Systems for Risk
 - Control Systems for Risk
- Risk Reporting Dashboard
- Centralisation and resource utilisation

There is no other organisation offering this training as definitively as CPM21, so book today and ensure that you know what the role involves and what you will need to do in your firm.

Want to book?

Complete the 'Booking Form' overleaf or email: book@cpm21.co.uk

Or book online via our website at www.cpm21.co.uk using a credit card.

Please reserve place(s) on the above course

The Definitive COLP

on _____ (date)

at _____ (location).

Course Code: COLP



Business Name

Contact Name

Contact email

Contact telephone

Special requirements

Course Cost

See website listing for current cost

Cheques should be made payable to **CPM21 Ltd**, and sent with this booking form to:

CPM21
The GTi Suite,
Venture House,
Navigation Park.
Abercynon CF45 4SN

CPD Course Booking Terms & Conditions:

1. Course fees must be paid on or before the date of the course. If you fail to attend after booking the course then you remain liable for the fee subject to clause 7 below.

2. Confirmation of booking and details of venue will be sent by email only to the email address provided.

3. A receipted VAT invoice will normally be issued to the delegate/organisation within 14 days of the conclusion of the course

4. Course documentation will be distributed at the event

5. Prices may be subject to change and may vary depending on location of the course (to allow for varying venue costs etc.)

6. We are an SRA approved external CPD course provider and provide CPD hours for those subject to that scheme. It is a condition of the allocation of hours that you attend for the duration of the course and personally complete and sign the CPD register to confirm that you have done so. The relevant CPD reference will be provided by the tutor on the day and will be shown on the CPD register. You should make a note of this and the hours awarded for your own CPD records.

7. We reserve the right to vary, cancel or postpone a course at short notice where necessary. Cpm21 accept no liability if the course does not take place. Refunds will be made as appropriate if the course is cancelled by us.

8. You may cancel/postpone your attendance up to 14 days before the event provided you email such confirmation to support@cpm21.co.uk. We cannot accept telephone, letter or faxed cancellations.

9. You may send a substitute delegate without notifying us in advance but the change must be made clear on the signed CPD register.

10. Data Protection – we may periodically contact you with updates, information and details of courses and services. If you do not wish to receive such updates or information then please email support@cpm21.co.uk

11. The presentations, materials and notes for our courses are prepared solely for the benefit of the delegates attending that course. They are intended to be an integral part of the course presentation and do not necessarily stand on their own outside that context. They must not be used for giving advice in a specific situation. Neither cpm21 nor any of its consultants or tutors shall have any responsibility or liability for losses (including consequential loss) occurring because a person acts upon or fails to act based on any statement made by the consultant or tutor or contained in the course materials, notes or presentations. Our tutors are not authorised to provide practice management, legal or other advice relating to any specific situation or issue as part of our training courses and you should seek appropriate professional, financial or legal advice before making any decisions or taking any actions based on what you have heard, learned or read about on the course.

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